



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

James K. Guenter et al.

Examiner: Hung T. Vy

Serial No.:

09/577,034

Group Art Unit 2828

Filed:

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For:

SYSTEM AND METHOD FOR VCSEL POLARIZATION CONTROL

Docket No.:

M40 26373 US (1139.1110101)

RESPONSE

Box Non-Fee Amendment Assistant Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

CERTIFICATE UNDER 37 C.F.R. 1.8: I hereby certify that this correspondence is being deposited with the Unite States

Postal Service on the date shown below with sufficient fostage as first class mail in an envelope addressed to the:

Commissioner for Patents, PO Box 1450, Alexandria, VA 22313 1450 on this 5th day of January, 2004.

Rv

Brian N. Tufte

Dear Sir:

This paper is being filed in response to the Non-Final Official Action mailed October 6, 2003, setting a three-month shortened statutory period for response ending January 6, 2004.

A listing of the pending claims begins on page 2 of this paper.

Remarks/Arguments begin on page <u>7</u> of this paper.